



PG – 839

III Semester M.Com. Degree Examination, January 2013
(2007-08 Scheme) (NS)
COMMERCE
Paper – F-1 : Financial Markets

Time : 3 Hours

Max. Marks : 80

Instruction : Answer all Sections.

SECTION – A

(2x10=20)

1. Answer any ten of the following in about 3-4 lines each. Each sub-question carries 2 marks.
- What do you mean by 'Price discovery' ?
 - Define financial system.
 - What are the functions of 'Registrars to issue' ?
 - What is meant by 'Price rigging' ?
 - Who is a market maker ?
 - Mention three objectives of establishing NSE.
 - What do you mean by 'vetting of prospectus' ? Who does it ?
 - Is there any difference between ADR and GDR ? Mention the difference.
 - Mention different types of marketing of company securities.
 - What is Private placement ?
 - What is speculation ?
 - Mention two objectives of establishing SEBI.

SECTION – B

(5x3=15)

Answer any three of the following. Each question carries five marks.

- Enumerate the steps involved in marketing of securities through IPO method.
- What are the listing requirements of Bombay Stock Exchange ?

P.T.O.



4. Write a brief note on screen based trading.
5. Explain the procedure involved in globally depository receipt.
6. Explain underwriting function.

SECTION – C

(15x3=45)

Answer **any three** of the following. **Each** question carries **fifteen** marks.

7. Explain the functions, working and listing procedures of NASDAQ.
8. Discuss the powers and functions of SEBI in respect of investor protection and working of stock exchanges.
9. Discuss the various functions rendered by Stock Exchanges.
10. Explain the post-liberalization measures initiated in India for the development of capital market. Why such measures were initiated ?
11. Write short notes on **any three** :
 - a) Bought out deals
 - b) Share Brokers
 - c) London Stock Exchange
 - d) Merchant bankers.



PG – 732

III Semester M.Com. Degree Examination, Dec. 2013/Jan. 2014
(NS) (2007-08 Scheme)
COMMERCE
Paper – F-1 : Financial Markets

Time : 3 Hours

Max. Marks : 80

Instruction : Answer all Sections.

SECTION – A

1. Answer any ten of the following in about 3-4 lines each. Each sub-question carries 2 marks. (10×2=20)
- Mention the functions of Merchant Banker.
 - Define a Primary market.
 - What do you mean 'book building' ?
 - What is Zaraba ?
 - What do you mean by Euro-issues ?
 - Mention the objectives of establishing NASDAQ.
 - Mention SEBI's regulation on insider trading.
 - Mention different methods of pricing of new issues.
 - What is the difference between ADR and GDR ?
 - Mention any three functions of under writers.
 - What is meant by price rigging ?
 - What are the important features of offer document ?

SECTION – B

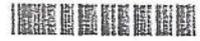
Answer any three of the following. Each question carries 5 marks.

(3×5=15)

- What are the listing requirements of Newyork stock exchange ?
- Write a brief note on Private Placement Trading Mechanism.

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PG-732

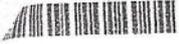


4. Bring out the important guidelines for raising funds in international markets.
5. Write an explanatory note on role of "issue managers" in public issue management.
6. Describe clearing and settlement procedure of NSE.

SECTION - C

Answer any three of the following. Each question carries 15 marks. (3x15=45)

7. Bring out the differences between Indian and International Stock Exchanges.
 8. Explain the detail the Indian financial system.
 9. Explain the functions, working and listing procedures of OTCEI.
 10. Explain the role of SEBI in Indian Capital Market. What are your suggestions for further improvement ?
 11. Write short notes on any three :
 - (a) Screen based trading
 - b) IPO's
 - c) Vetting of prospectus
 - d) Sensex.
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III Semester M.Com. Degree Examination, December 2014
(NS) (2007-08 Scheme)
Commerce
Paper – F-1 : FINANCIAL MARKETS

Time : 3 Hours

Max. Marks : 80

SECTION – A

1. Answer any ten of the following in about 3–4 lines. Each subquestion carries 2 marks. (10x2=20)
- a) Define secondary market.
 - b) What is meant by ASBA in an IPO ?
 - c) What are bought out deals ?
 - d) Distinguish between fixed price and book building methods of raising capital.
 - e) What is red herring clause ?
 - f) Distinguish between physical assets and financial assets.
 - g) What is odd cost trading ?
 - h) What are derivatives ?
 - i) What is short selling ?
 - j) What is meant by grey market ?
 - k) What is dematerialisation of securities ?
 - l) What are deep discount bonds ?



SECTION - B

Answer **any three** of the following. Each question carries 5 marks.

(3×5=15)

2. Describe the functions of financial system.
3. What are the functions of stock exchanges ?
4. What are the merits of online trading ?
5. Explain the methods of raising capital from overseas market through various instruments.
6. What are the differences between NYSE and NASDAQ ?

SECTION - C

Answer **any three** questions. Each question carries 15 marks.

(3×15=45)

7. SEBI is the capital regulator. Discuss.
8. The scope of merchant banking is vital. Discuss:
9. Explain the financial sector reforms implemented since 1991.
10. Explain the role and functions of financial markets for the economic development of the country.
11. Write short notes on **any three** :
 - a) Bombay Stock Exchange.
 - b) Indian Depository Receipts.
 - c) Price Rigging.
 - d) Employee stock option.