



III Semester M.Com. Degree Examination, December 2008

(2007-08 Scheme) (N.S.)

COMMERCE

Paper - F-1 : Financial Markets

Time : 3 Hours

Max. Marks : 80

SECTION - A

1. Answer any ten of the following in about 3-4 lines each. Each sub-question carries 2 marks. (2×10=20)
- What do you mean by Screen based training ? trading ?
 - Define Primary market.
 - Mention important disclosures in the 'offer document'.
 - (d) What is price rigging ?
 - (e) What are the functions of registrars to issue ?
 - f) What is meant by 'listing' of shares ?
 - g) Who is a Jobber ?
 - h) Illustrate the concept of 'Price discovery'.
 - i) List out different methods of Selling Securities.
 - j) What is an Euro issue ?
 - (k) What are the objectives of establishing SEBI ?
 - l) What is Grey market ?

SECTION - B

Answer any three of the following :

(3×5=15)

- List out the mechanics of public issue management.
- Bring out the advantages of 'Bought out deals'.

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4. Write a brief note on underwriting agencies.
5. What are the listing requirements ^{of} New York Stock Exchange ?
6. What are the guidelines for the issue of GDR's ?

SECTION - C

Answer any three of the following :

(3×15=45)

7. Discuss in detail the various functions performed by the merchant bankers in India.
 8. Describe the measures initiated by the Central government for reactivising the capital market in India.
 9. Explain different types of speculators in the Stock Exchange.
 10. What is OTCEI ? Explain its working and functions.
 11. Write short notes on any three :
 - a) German Stock Exchange
 - b) SEBI
 - c) NAS DAQ.
 - d) Insider trading.
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III Semester M.Com. Degree Examination, December 2009/January 2010
(2007-08 Scheme) (New)
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F-1 : Financial Markets

Time : 3 Hours

Max. Marks : 80

SECTION - A

1. Answer any ten of the following in about 3-4 lines each. Each sub question carries 2 marks. (2×10=20)

- What do you mean by 'Fungibility' ?
- Mention 2 functions of Registrar's to issue.
- What do you mean by book building ?
- What is mean by insider trading ?
- What do you mean by 'Cornering' of Shares ?
- What is the difference between ADR and GDR ?
- What do you mean by 'circuit breakers' ?
- Who are authorised clerks ?
- What is 'Zaraba' ?
- Who cannot be registered as share broker ?
- What is meant by rolling settlement ?

① Expand NAS DAQ. When was it established ? 1971
National Association of Security Dealers Automated Quotation System
SECTION - B

Answer any three of the following. Each question carries five marks. (5×3=15)

- What are the differences between 'Public issue' and 'bought out deals'.
- Mention the different functions of merchant bankers in India.
- Write a brief note on Tokyo Stock Exchange.
- Mention the defects of Indian Stock Markets.
- Bring out the advantages of Private placement to the investors and the company.



SECTION - C

Answer any **three** of the following. Each question carries **fifteen** marks : (15×3=45)

7. Outline the differences between Domestic issues and Euro issues.
 8. Explain the functions, working and listing procedures of New York Stock Exchange.
 9. Discuss in detail the SEBI guidelines for public issue.
 10. What is NSE ? Explain its working and functions.
 11. Write short notes on any three :
 - a) OTCEI
 - b) Underwriters
 - c) Screen based trading
 - d) Bulls, Bears and Stags.
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